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Attorney's Docket No.: 17146-004001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stephen K. Pinto et al.      Art Unit : 2123  
Serial No. : 10/826,950      Examiner : Unknown  
Filed : April 16, 2004  
Title : PREDICTIVE MODEL AUGMENTATION BY VARIABLE TRANSFORMATION

**MAIL STOP AMENDMENT**

Commissioner for Patents  
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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to deposit account no. 06-1050, referencing 17146-004001.

Respectfully submitted.

Date: \_\_\_\_\_

2/24/5

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.B./

Substitute Form PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office <b>Information Disclosure Statement</b> by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	Attorney's Docket No. <b>17146-004001</b>	Application No. <b>10/826,950</b>
	Applicant <b>Stephen K. Pinto et al.</b>	
	Filing Date <b>April 16, 2004</b>	Group Art Unit <b>2123</b>

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KB/	AA	5,692,107	11/25/1997	Simoudis et al.			
/KB/	AB	5,826,250	10/20/1998	Trefler			
/KB/	AC	6,185,543	02/06/2001	Galperin et al.			
/KB/	AD	6,269,325	07/31/2001	Lee et al.			
/KB/	AE	6,317,752	11/13/2001	Lee et al.			
/KB/	AF	6,640,215	10/28/2003	Galperin et al.			
/KB/	AG	6,542,894	04/01/2003	Lee et al.			
/KB/	AH	6,631,360	10/07/2003	Cook			
/KB/	AI	6,782,390	08/24/2004	Lee et al.			
/KB/	AJ	2002/0052836	05/02/2002	Galperin et al.			
/KB/	AK	2003/0018601	01/23/2003	Lee et al.			
	AL						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
/KB/	AM	WO 99/22328	05/06/1999	WIPO				
	AN							
	AO							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AP	Commercial software: SPSS Clementine; <a href="http://www.spss.com/Clementine/index.html">www.spss.com/Clementine/index.html</a>
	AQ	"On line Seminar: How to keep customers and increase profits using predictive analytics powered by Clementine", <a href="http://www.spss.com/cpsb/directresponse/clementinewebinar/index.cfm?ucode=44183">www.spss.com/cpsb/directresponse/clementinewebinar/index.cfm?ucode=44183</a>
	AR	"SAS Enterprise Miner", <a href="http://www.sas.com/technologies/analytics/datamining/miner">www.sas.com/technologies/analytics/datamining/miner</a>
	AS	SAS JMP, <a href="http://www.jmp.com">www.jmp.com</a>
	AT	Clifford C. Clogg, et al., "Multiple Imputation of Industry and Occupation Codes in Census Public-Use Samples Using Bayesian Logistic Regression", <i>Journal of the American Statistical Association</i> , Vol. 86, No. 412, pp. 68-78 (March 1991)

not  
readable

Examiner Signature /Kalpana Bharadwaj/	Date Considered 03/22/2007
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17146-004001	Application No. 10/826,950
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Stephen K. Pinto et al.	
		Filing Date April 16, 2004	Group Art Unit 2123

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
/KB/	BA	Roderick J.A. Little et al., <u>Statistical Analysis with Missing Data (2<sup>nd</sup> Edition)</u> , John J. Wiley & Sons, Inc., (2002), pp. 19-22; 166-188; and 292-309.
/KB/	BB	Donald B. Rubin, "Using Empirical Bayes Techniques in the Law School Validity Studies", <u>Journal of the American Statistical Association</u> , Vol. 75, No. 372, pp. 801-816 (December 1980)
	BC	
	BD	

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